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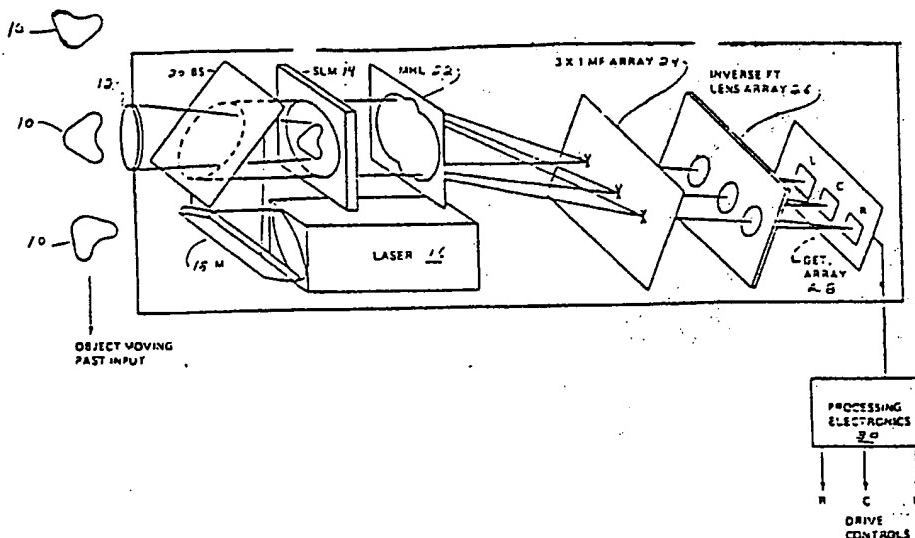


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(54) Title: ROBOTIC VISION, OPTICAL CORRELATION SYSTEM



(57) Abstract

A robotic vision, optical correlation system optically analyzes an input image (10) to provide identification and aspect information. The input image (10) is incident upon a spatial light modulator (14). A multiple holographic lens (22) then performs a multiple number of Fourier transformations upon the image (10). An array of matched filters (24) has the array of Fourier transforms incident thereon. Each matched filter (24) comprises a Fourier transform hologram of different aspect view of the object and passes an optical correlation signal indicative of the degree of correlation. The signal is then transformed by an inverse Fourier transform lens (26). A detector (28) then detects the signal. A processing circuit (30) compares the relative magnitudes of the signals to determine aspect information about the input image (10). The present invention includes a normalizing means (electronic (30) or optical) for each matched filter. This normalizing means operates on the basis of separate angular response curves for each matched filter.

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ROBOTIC VISION, OPTICAL CORRELATION SYSTEM

1       The present invention relates generally to a  
robotic vision, optical correlation system which utilizes  
matched filters to provide object identification, and to  
provide aspect information, such as positional and size  
5       information, about an object, and more particularly pertains  
to a robotic vision system as described which utilizes  
primarily parallel optical processing therein.

10      The study and application of robotic vision  
systems is an area that is accelerating in interest in both  
commercial and military activities. Current commercial  
applications have been identified for machine operations and  
production lines. In the prior art, robot manipulators have  
been utilized in relatively large scale manufacturing  
15      assembly lines to perform simple manipulative tasks including  
loading and unloading machines, stacking parts, spray  
painting, and spot-welding. These machines generally  
responded to a set of highly specific program commands  
wherein the positioning and orientation of the workpieces  
manipulated were known with considerable precision. In  
20      general, the programming of such a manipulator was relatively  
complicated, and the program was useful only if the  
positioning of the workpieces was held within relatively  
precise tolerances.

25      Recently, there has been an attempt to increase the  
flexibility of such manipulators by the addition of various  
sensory capabilities. Tactile and auditory capabilities are  
presently being developed along with visual capabilities, as  
concerns the present invention. Range finding, and  
structured light and binocular vision techniques have been

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1 employed in such robotic vision systems. However, none of  
these systems are particularly useful in applications  
requiring identification of an object, and a determination  
of its location and orientation. Furthermore, the known  
5 robotic vision systems require a substantial amount of  
processing time between vision sensing and object  
identification.

A number of robotic or machine vision systems have  
been disclosed and analyzed in the prior art relative to  
their abilities to perform specific intended tasks. These  
10 systems have usually been hybrid in nature, with the sensor  
often being an analog device, and the processing and  
articulation control frequently being digital in nature. A  
further bifurcation in this technology is the choice between  
digital and analog object recognition. Digital systems often  
15 rely upon video input and algorithms to sort out objects and  
parts according to size and aspect. The memory libraries are  
restricted only by the size of the computer memory. There  
are fewer optical systems, and most of them rely upon  
electronic processing to some degree.

20 Spight U.S. Patent 4,462,046 discloses an optical  
vision system in which video cameras are used in association  
with a computer, and in which off-axis views are stored in  
the processor. The Fast Fourier Transforms (FFT) are video  
analyzed and processed in the computer. At best, this system  
25 is restricted to thirty frames per second.

In contrast to the Spight system, the present  
invention performs its processing optically and in parallel  
at near the speed of light. Many views of many different  
objects can be stored in a single complex matched filter,

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1 and these filters can be specifically designed to handle  
multiple objects. A further advantage of the subject  
invention is that specially designed multiple holographic  
lenses allow many objects and/or many views to be optically  
processed in parallel, and also the degree of aspect of an  
5 object can be determined to a desired degree of resolution.

Grumet U.S. Patent 3,779,492 is of interest to the  
present invention, and discloses a matched filter optical  
correlator system similar to the present invention in which  
10 a coherent, optical signal processor is used for recognition  
of specific known targets. Each matched filter record  
includes a pair of matched filters that separately process  
the high and low spatial frequencies. The outputs thereof  
are combined in a logical AND operation and the target is  
interrogated for fine features as well as for correct size  
15 and shape. The optical memory bank of matched filter pairs  
comprises diffraction patterns of all resolvable views, in  
both azimuth and elevation, of a target, thus forming a  
target recognition comb-filter bank. All views of the  
recognition bank are simultaneously interrogated optically  
20 according to the diffraction pattern of the detected object  
to determine whether the detected object is the desired  
target as stored in any of the views in the memory bank.

The present invention differs from and improves  
upon the Grumet system in several important respects. One  
25 embodiment of the subject invention provides an inverse  
Fourier transform lens array for receiving the optical  
correlation outputs of an array of matched filters, and each  
optical correlation output is then directed to a separate  
detector. Grumet instead illustrates a single lens 29 which  
30 directs all of the outputs onto a common detector. The

present invention also provides a normalizing means for each  
1 individual matched filter for producing a normalized output  
signal therefrom, and Grumet totally fails to appreciate the  
need for signal normalization. The separate detector  
advantageously allows each output signal to be amplified  
5 separately, which allows each amplifier to be used for  
separate normalization of that processing channel. Grumet  
also fails to appreciate that each matched filter has a  
separate and individual angular response curve, which should  
be formulated, and can be utilized to determine aspect  
10 information about an object of interest.

The general principle of operation of the present  
invention is that an object is recognized, its size,  
location and aspect are determined, and signals are generated  
15 for control purposes. This system utilizes the properties of  
optical matched filters to enable an identification of the  
object, a determination of its size, a determination of the  
location of the object, a determination of any angular  
aspect, and a determination of object velocity, if needed,  
through successive sightings.

20 In accordance with the teachings herein, the  
present invention provides a system for optically comparing  
an input image with optical information stored in one or more  
matched filters to provide identification and aspect  
information about the input image. The input image is  
25 incident upon a spatial light modulator, and the input image  
spatially modulates a coherent beam of radiation. A multiple

1       holographic lens has the spatially modulated radiation beam  
1       incident thereon, and performs a multiple number of Fourier  
5       transformations thereon to obtain an array of a multiple set  
      of Fourier transforms of the spatially modulated radiation  
      beam. A corresponding array of matched filters has the  
5       array of Fourier transforms incident thereon, with each  
      matched filter comprising a Fourier transform hologram of an  
      aspect view of an object of interest, and each matched  
      filter passes an optical correlation signal in dependence  
10      upon the degree of correlation of the Fourier transform of  
      the spatially modulated radiation beam with the Fourier  
      transform recorded by the matched filters. An inverse  
      Fourier transform lens array receives the optical  
      correlation outputs of the array of matched filters, and  
15      performs an inverse Fourier transformation on each optical  
      correlation output. A detector array then detects the  
      inverse Fourier transform of each optical correlation  
      output, and produces a detector output signal representative  
      of each optical correlation output.

20      In accordance with one preferred embodiment, the  
      detector output signals are electronically processed in a  
      processing circuit which compares the relative magnitudes of  
      the signals to determine aspect information about the input  
      image. The processing circuit comprises a normalizing  
      amplifier circuit for each detector output signal, an analog  
25      to digital converter for converting each normalized detector

1       output signal to a corresponding digital signal, and  
1       comparator circuits for comparing the magnitudes of the  
corresponding digital signals. The outputs of the  
comparator circuits are then processed in a logic circuit  
which develops move right or move left robotic control  
5       signals.

The present invention recognizes the need to  
normalize the outputs of the separate matched filter  
channels in the optical correlation system. The  
normalization can be achieved electronically, as by a  
10      separate normalization amplifier for the detector of each  
matched filter channel, wherein the gain of each  
normalization amplifier is set to compensate for differences  
in the outputs of the individual matched filters.  
15      Alternatively, the normalization can be achieved optically,  
as by adjusting the amplitude of the light being processed  
through each individual matched filter channel, as by  
adjusting the output power of the laser, or by an  
attenuating filter, which can be a rotatable polarizing  
filter, in the optical path of each matched filter channel.  
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The normalization function can also be tied to the  
individual angular response of each matched filter, and the  
subject invention recognizes that each matched filter has an  
individual angular response curve which is normally  
different for each matched filter. Accordingly, an angular  
25      response curve is empirically developed for each individual  
matched filter. The maximum amplitude signal for all of the  
angular response curves are then set to be substantially  
equal to normalize the angular response curves. The angular

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1 field of view for each normalized angular response curve is  
then determined, which allows a determination of the number  
of matched filters required to yield a desired overall  
angular detection response for the system.

5 Figure 1 is an exemplary embodiment of a robotic  
vision system employing the teachings and illustrating the  
general principles of operation of the present invention;

Figure 2 illustrates the angular sensitivity of a  
matched filter to spatial frequency bandwidth;

10 Figures 3a and 3b illustrate the sensitivity of a  
matched filter to image rotation, with a fixed scale size;

Figure 4 illustrates a simple object showing the  
effect of object aspect rotation in a planar view;

15 Figure 5 shows the effects of the object rotation  
of Figure 4 upon the Fourier transforms thereof;

Figure 6 illustrates the angular sensitivity of a  
matched filter, and more particularly the angular  
sensitivities of the correlation signals of three matched  
filters for three possible object positions;

20 Figure 7 is a representative illustration of the  
correlation signals for a system wherein seven angular aspect  
views are recorded on matched filters.

Figure 8 illustrates a positional control system  
with signal processing electronics to derive positional  
control signals;

25 Figure 9 illustrates a further embodiment of the  
present invention with a partitioned array of detectors, and  
illustrates one optical technique for normalizing the output  
of each matched filter channel;

1       Figure 10 illustrates an exemplary embodiment of an  
arrangement for the preparation of a MF memory bank for  
different aspect views of interest for an object;

5       Figure 11 illustrates an idealized situation in  
which the angular response curves of all of the matched  
filters are equal in amplitude and angular range;

Figure 12 illustrates a realistic situation in  
which the angular response curve of each matched filter is  
different in both amplitude and angular range; and

10      Figure 13 illustrates a normalization of the  
response curves of Figure 12 in which the peak amplitudes of  
each curve are equalized, which results in different angular  
ranges for each matched filter.

15      A number of elements and concepts relating to the  
present invention are used frequently in this description  
and are essential to an understanding of the function and  
general principles of operation of the invention, and  
accordingly the nature and properties of several of those  
concepts are discussed hereinbelow initially for  
convenience.

20      A holographic lens (HL) is made by recording the  
interference pattern of an expanding point radiation source  
and a collimated radiation beam, which produces a hologram of  
a point source. When the holographic lens (after recording  
and processing, as on film) is illuminated, it recreates the  
25     point source, i.e., it behaves as a lens. If the recording  
process is initially repeated, a series of point source  
holograms, or a multiple holographic lens (MHL), can be  
recorded on the film.

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The subject invention utilizes one of several  
1 possible distributions in offset angle, position and focal  
length in a multiple holographic lens array to produce an  
array of Fourier Transforms of an input spatially modulated,  
laser radiation beam. In general, the particular  
5 requirements of the array will be determined by the  
particular problem being addressed. In summary, a  
holographic lens takes a Fourier Transform (FT) of a laser  
beam illuminated scene or target, and a multiple holographic  
10 lens takes, simultaneously, a multiple set of Fourier  
Transforms. A multiple holographic lens array is usually  
used in conjunction with a corresponding multiple array of  
matched filters.

When a lens is illuminated by a spatially modulated  
15 collimated beam (as when it is modified spatially by passing  
through a film of a scene, target, etc.), the lens creates at  
the focal point the Fourier Transform of the object(s) on the  
film, which is a basic lens property. When the Fourier  
Transform is interfered with a collimated (or reference) beam  
from the same source, an interference pattern results. This  
20 is called a Fourier Transform hologram, or Matched Filter  
(MF). It is an optical spatial filter of the input object.  
When an arbitrary scene is played through the system, the  
matched filter will pick out and pass the object for which it  
was made. The signal passed by the filter is Fourier  
25 transformed again and a "correlation" plane results. If the  
matched filter target is present, a sharp correlation signal  
results, whereas non-target signals result in broad, low  
correlation signals in the correlation plane.

The present invention uses the sensitivity of a  
30 matched filter to object rotation or object scale size. As  
either of these aspects change (i.e., the object is at a

1 different angle than the one for which the MF is made, or at  
a different distance, therefore at a different scale size),  
the correlation signal changes.

5 Figure 1 illustrates a relatively simple embodiment  
of an optical correlator employing a memory bank of matched  
filters pursuant to the teachings of the present invention.  
An object of interest 10 is moving past the input to the  
optical correlator, and is imaged by an input lens 12 onto a  
spatial light modulator (SLM) 14, which spatially modulates  
the image onto a laser beam from a laser 16, directed thereto  
10 by a mirror 18 and beam splitter 20. The spatially modulated  
laser beam is Fourier transformed by a multiple holographic  
lens 22 and directed onto a corresponding array of matched  
filters (MFs) 24. An inverse Fourier Transform lens array 26  
inversely Fourier transforms the output of the MFs and  
15 directs the outputs thereof onto a detector array 28, the  
output signals of which are electronically processed at 30,  
as described in greater detail hereinbelow, to produce output  
control signals.

20 A matched filter is a Fourier transform (FT)  
hologram with properties that are sensitive to an input  
object's size, angular aspect and input location. These  
parameters can be predetermined in order to prescribe a set  
of angle and range (size) lines covering the anticipated  
object's aspects. The detector can be partitioned to resolve  
25 the location to the degree desired.

20 In the fabrication of a matched filter, the  
holographic fringe visibility is optimized at a particular  
spatial frequency that will satisfy the size and/or aspect  
sensitivity requirements. Because it is unlikely that both  
30 requirements can be satisfied simultaneously, a plurality of  
independent MFs are utilized in the present invention. The

1 nature of different particular applications will generally  
require significantly different MF sensitivities.

5 A matched filter is a complex holographic structure having size, wavelength, thickness of the storage medium, focal length of the Fourier transform lens, contrast ratio, overlap, placement, and spatial frequency dependence. Each of the characteristics can be described using the following carefully developed approaches to qualifying or quantifying matched filters.

10 (a) In fabricating matched filters of complex objects for the first time, a correlation matrix can be developed, and a representative matrix is shown below.

	.90	.93	.97	.96	.94	
15 Amplitude	.91	.94	.99	.97	.95	Optical Exposure Time
Transmittance	.93	.95	1.0	.98	.96	
	.92	.94	.98	.95	.94	
20	.91	.93	.96	.93	.91	
Reference Signal	Beam Ratio R					

25 The y axis is amplitude transmittance (amount of light transmitted, going from 0 to 1.0 - the opposite of density.) Optical exposure (relative) is often used as the actual parameter, and is shown at the right hand side. The x axis is the Reference beam divided by the Signal beam ratio, designated by R. In practice, one often establishes a given beam ratio, and then varies exposure time. This can be accomplished easily with the correlator shutter, and so this procedure is followed, bearing in mind that the

1 procedure is followed in the dark. This generates a column  
of values. Then, a new beam ratio R is established,  
typically +3dB or up two times, and the procedure is  
repeated.

5 The values in the matrix are peak correlation  
values obtained after the photoplates used to make the  
matched filters are exposed, developed, dried and reinserted  
in the optical correlator. The matrix development is a long  
and time consuming process, and depends upon the material,  
object, etc. This illustrates that just making the matched  
10 filter with a given material is a complicated, detailed  
process because of the complex nature of matched filters.

(b) A second criteria which has been developed is  
termed the system criteria S.

15  $S = (\lambda F)^{-1} \frac{\text{cycles/mm}}{\text{mm}}$

where  $\lambda$  (mm) and F (mm) are respectively the matched filter  
operational wavelength and the lens focal length. The  
20 smaller the value of S, the easier it is to control  
parametric variation sensitivity, and locational problems  
also ease with smaller values of S. The system criteria S  
indicates the extent the MF is spread out on the medium (and  
therefore, bandwidth, exposure time necessary, etc.).

25 (c) A third criteria on capacity C was developed  
to indicate the number of matched filters which can be  
placed on a photographic plate for recording.

30 Capacity C = CONSTANT  $\times \frac{\text{SPG}}{((\Delta\nu) N F \lambda)^2}$

1           C is the capacity in matched filters per square  
centimeter.

5           S is the split spectrum factor (since the Fourier transform has 180° symmetry, one half or all of the matched filter can be used), and has a value of 1 or 2.

10          P is the number of overlapped filters at a given location. This can have values up to 30 but conservatively P can be approximately 4.

15          G is the geometrical packing factor, and depends upon the mix of target objects to be recorded, and values between 1 and 1.5 might be reasonable.

20           $\lambda$ , F have already been explained. Practical values are  $.45 \text{ um} \leq \lambda \leq 2 \text{ um}$ , and  $25 \leq F \leq 1500 \text{ cm}$ .

25          N. $\Delta\nu$  is the bandwidth of the matched filter.

30          N is the multiple of  $\Delta\nu$  used to obtain 96% of the autocorrelation value.  $\Delta\nu$  is usually set to be the frequency range where the matched filter is optimized for holographic contrast ratio.

35          This explanation illustrates the complexity of matched filters, and all of the factors that must be considered in fabricating them, and when they are complicated, the requirement for performing correct normalization.

40          Special equipment has been designed to measure a matched filter's sensitivity to angular orientation, scale and contrast. In addition, the above criteria must be applied to each group of matched filters since, for example,  $\Delta\nu$  and angular sensitivity are intimately related, and a change in one affects the other. Also, the photographic exposure time  $\Delta t$  has an influence upon  $\Delta\nu$  and therefore, angular sensitivity. Consider the value of the system criteria S. When  $\lambda$  is changed or F (or both), S changes, as

1 does  $\Delta\phi$  and the angular sensitivity. This illustrates again  
the requirement for normalization. Similar analyses could  
also be performed for scale changes, or contrast changes,  
which require the matched filter quality to be assessed  
again.

5 Another important MF factor is the spatial  
frequency bandwidth. Matched filters can be optimized at  
any desired frequency, but the degree of object  
discrimination is often dependent upon the fine details of  
the object and, thus, the higher frequencies. The frequency  
10 requirements must be considered along with the particular  
object's size, position, and aspect. Along with spatial  
frequency bandwidth, the angle, size, and matched filter  
sensitivity must also be considered.

15 Figure 2 illustrates a situation in which an  
idealized matched filter (solid line) of an object is  
addressed by the Fourier transform of the same object but  
with some rotation (dotted lines). Clearly, at a low  
spatial frequency bandwidth, there is an overlap between the  
two, and this means some signal will be available for  
20 interpretation. If the filter has a high frequency cutoff  
(e.g.,  $Wn_1$ ), it is also clear that no signal may be  
available for robotic interpretation. Moreover, the center  
of each of the "lobes" is often blocked out when a high  
frequency filter is utilized.

25 Figure 3a illustrates three angular sensitivity  
curves obtained for three separate orders, or spatial  
frequency bands. When a validated simulation of the  
correlation process is used, the corresponding curves of  
Figure 3b are obtained, and represent the desired result for  
30 the object. The angular sensitivity can be seen to be  
variable over a wide range.

Another important MF factor is the size aspect.

- 1 Consider the simple object shown in Figure 4(a). The image projected onto the spatial light modulator (SLM) is that shown as the system (or front) view. The Fourier transform for the view (a), again idealized, is shown in Figure 5a.
- 5 The points between the outlined frequency regions represent zeros of the FT, in this case equally spaced. As the object presents a new view, the rectangular image on the SLM undergoes a gradual increase to a maximum at 26 degrees (Figure 5b), and then decreases until it presents a 90 degree view (Figure 5c), the narrowest view, i.e., its width is ( $2 L \cos r + L \sin r$ ).

During this sequence, the FT starts with something like that shown in Figure 5a. As the angular rotation of the view increases, the larger area yields a similar FT but one in which the zeros move toward the origin (zero spatial frequency), and at approximately 26 degrees, the FT zeros are closest to the origin. At larger angular views, the corresponding zeros move to higher frequencies until at 90 degrees they are at the greatest set of spatial frequencies.

15 This basic example illustrates that the choices of FT to fabricate an MF must be chosen wisely. As a new view is presented the FT "sweeps" past the fixed FT used to generate the FT hologram (matched filter). A correlation signal proceeds through a sequence of values reaching a maximum at autocorrelation. Thus, the size factor for some objects which present common type of views must be considered in MF construction. Based upon experience, a -3dB range for the correlation signal can represent objects ranged in size from ±4% to ±20%.

20

25 Consider an embodiment similar to Figure 1 having MFs for three different angular views of an object, -10°, 0°,

and  $+10^\circ$ . Their idealized angular sensitivities are shown in  
1 Figure 6a. If the view encountered, for example, is  $0^\circ$ ,  
Figure 6b, then the MF for that view would produce the  
maximum autocorrelation signal, while the other two views  
would produce signals approximately half as large, as  
5 illustrated in Figure 6b. Alternatively, an object  
encountered at  $+10^\circ$ , Figure 6c, or at  $-10^\circ$ , Figure 6d, would  
produce maximum signals from the  $+10^\circ$  MF and the  $-10^\circ$  MF,  
respectively. In each respective situation, the MF  
constructed at  $-10^\circ$  and  $+10^\circ$  MF would yield no signal in the  
10 respective cases, as illustrated in Figures 6c and d.

This arrangement allows a logical determination of  
the angular view of an object by the output signals from  
three matched filters. If the object has some other angle  
within the entire viewing range, then an unambiguous array  
15 of three signals is obtained. For higher precision, more  
channels with more MFs could be utilized. For a seven  
channel system, the signals might look like the array in  
Figure 7. The processing logic would be more involved, but  
the principle of operation is the same. Increased angular  
20 sensitivity of a matched filter increases the precision, but  
requires a larger memory of MFs. In general, the number of  
MFs required depends upon (a) the object and (b) the detail  
with which one wants to resolve the object. A MF of an  
object can be made using low spatial frequencies of the  
25 object, yielding low orientation discrimination, perhaps  
where it is unnecessary to be more discriminating. On the  
other hand, high spatial frequencies allow a system to be  
very discriminating because the MF rotational sensitivity is  
high.

30 The control circuit of Figure 8 can be used for the  
optical correlator system of Figure 1 with respect to the

exemplary embodiment of matched filter responses of Figure  
1 6a. As illustrated in Figure 8, the outputs of the  
detectors L, C and R are normalized because not all MFs have  
the same autocorrelation signal at perfect registration.  
The light energy through a MF of one view of an object is  
5 often substantially different from that for a second view  
also in perfect registration with its MF. For a simple  
symmetrical object, three views of the object would  
generally produce equal autocorrelation. However, three  
10 orthogonal views of an automobile, for example, would  
generally yield autocorrelation signals which are quite  
different, and accordingly normalization of the signals is  
necessary. Figure 4 illustrates an object with different  
views.

In summary, the detected signals are normalized and  
15 amplified in the Normalization and Gain circuits 40 as  
required. Each of the three views must have the same gain  
so that the right view, for example, seen by the right view  
MF has the same signal as the center view MF has when it  
"sees" the center view. When the angular fall off curves  
20 are made the same, normalization ensures that the center  
view MF has -3dB response for a 0dB left view response at  
the same time that the left view MF has -3dB response for a  
0dB center view response. The same relationship must  
prevail for the center view and right view MF responses.  
25 Explained differently, except for axially symmetric  
geometrical objects, most objects have different cross  
sections for different views. Thus, the energy "passed" by  
a MF will be different for each different view, and  
normalization is required. The normalization factor  
30 required for each amplifier gain circuit for each matched  
filter channel is determined from the angular response  
curves as described herein, and then the normalization

1 factors for all of the matched filter channels are stored as  
data in a memory 41, from which they are recalled to control  
each of the amplifier gain circuits.

5 After normalization, each of the R, C, and L  
channel signals is converted to a corresponding digital  
signal by an A to D converter 42. The normalized output  
signal for each channel is shown in Figure 6b, c and d for  
the three major cases of object facing center, and then  
right and left of center. The normalization and D/A  
conversion can be readily established for cases of  
10 intermediate positions or for n views of the object.

15 Referring to Figure 8, each of the L and R digital  
outputs are compared to C in a comparator 44, and yield a  
set of signals,  $C < L$ ,  $C > L$ , and  $C < R$ ,  $C > R$ . The following  
logic equations are applicable,

$$\begin{aligned} (C > R) + (C > L) &= \text{Center} \\ (C > R) + (C < L) &= \text{Facing Left} \\ (C < R) + (C > L) &= \text{Facing Right} \end{aligned}$$

20 Thus, appropriate AND gates 46 determine the  
relationships, and develop the commands move right, stop, or  
move left, which are available for robotic control.  
However, it should be understood that many alternate  
electronic approaches can be used to process the matched  
filter outputs.

25 Better angular response can be obtained by the  
following techniques: narrower angular sensitivities (i.e.,  
higher frequency filters), more discriminating logic (e.g.,  
logic which also determines sense as a trial command is  
executed), and narrower crossover points (e.g., possible -1dB  
crossovers, and not -3dB as illustrated in Figure 4), or by  
30 some combination of the three techniques.

The object can also be moving across the input so  
that the matched filter outputs in the correlation plane also

vary, thus providing time dependent positional signals.  
1 Therefore, a segmented detector, could be utilized for deriving positional information.

The embodiments of Figures 1 and 8 utilize individual detectors. Television cameras can also be used, 5 and single lines containing the correlation signal of the sequentially scanned format can be isolated, and used for measurement, which has proven to be satisfactory but inaccurate. The correlation plane can also be scanned with a fiber optic probe, which provides a highly accurate 10 measurement of the maximum value. However, this technique is manual, slow, and inappropriate for the present invention. Accordingly, it is much more advantageous to focus the output of each individual matched filter channel onto a separate detector having a balanced output amplifier 15 so that normalizations can be made pursuant to the quality of each matched filter.

The exemplary embodiment of a MHL-MF configuration shown in Figure 9 can provide information on articulation based upon the orientation, position and size of the object. 20 If the class of object is such as to be uniform, then a size determination might be used as a distance measurement. For a multiple parameter embodiment, an array of detectors is required, such as a CCD type in which the array is subdivided and processed according to the parameters, in the illustrated 25 embodiment, nine segments.

Figure 9 illustrates a modulated input beam 48, a multiple 3 x 3 holographic lens 50, a matched filter array 52, a holographic lens and/or fly's eye version MHL 54, and a partitioned detector array 56. In this embodiment, a 30 normalizing fabrication plate 58 is placed in front of the matched filter plate 101. It consists of a plate large enough to block the [(3 x 3) - 1] beams from the MHL 50 to

the MF plate 52. The one beam permitted through to the MF plate passes through a rotatable polarizer 60. This is a circularly mounted piece of sheet polaroid (HN type) which is linearly polarized. Since the laser beam is linearly polarized,  $\Theta$  rotation of the circularly mounted polarizer 60 causes a sine  $\Theta$  change in the intensity of the passed beam. Thus, each position can be accommodated for an individual nonelectronic normalization. The R-beam must be equally set. In operation, the fabrication plate 58 would be selectively positioned in x and y for each matched filter channel, and the rotation of the polarizer controlled and positioned by data in memory for that particular matched filter channel to achieve normalization of all of the matched filter channels. The fabrication plate can be stepped in sequence in x and y, as by stepper motors, to sequentially position it for each matched filter channel. The polarizer could also be placed in front of the MHL 50, or anywhere in the chain of optical elements of the correlator in which the MF beam is affected. The technique for mounting and driving the rotation of the polarizer are known in the art, such as by driving a stepper motor to turn the polarizer 60. In a preferred embodiment, control signals for the polarizer would be computer (PC) derived.

Unlike the usual neutral density controls in a fabrication which are set by sensing the laser beam, the polarizer should be adjusted in both the signal and reference beam channels by computer to a predetermined amount which is derived from test data on the robotic piece during a rotation test.

In some embodiments the MF correlation robotic vision system will require a large MF library. The capacity of the memory can be determined once the application is formulated and the degree of MF discrimination clearly established.

1       The equation for capacity C has been previously  
explained. Using an argon laser and a 25 mm focal length  
Fourier transform lens, over one thousand MF/cm<sup>2</sup> can be  
stored with modest layout considerations. Depending upon  
the particular embodiment and application, a multiplicity of  
5       matched filters can be stored for several different aspects  
of several different targets.

10      In many correlator applications, it will be  
sufficient to take a single inverse FT of the MF array for  
processing. In a robotic vision system, individual or  
grouped FT can be utilized so that individual correlation  
signals are produced (as in Figure 1). Therefore, it is  
important that the memory be appropriately organized. For  
example, scale size can be determined first to provide a  
bank of filters for a particular scale size S to have their  
15      outputs processed simultaneously, and for another scale size  
S<sub>1</sub>, the processing system could be switched as appropriate  
to enable a single processor to be employed.

20      The spatial light modulator could be liquid  
crystal, photorefractive, thermoplastic or magneto-optic, and  
can be either transmissive or reflective in nature. The SLM  
should operate at several cycles per second, and have a  
resolution of 50 cycles per mm at a contrast rate of 0.5, an  
absence of image retention, a lifetime of several hundred  
thousand cycles, and provide seven or eight gray levels as  
25      required.

30      The matched filter response can be accurately  
characterized and made quite efficient through dichromated  
gelatin or thermoplastic media, and in one embodiment, MFs of  
a sufficiently high phase frequency could be computer  
generated to provide a higher degree of precision.

Figure 10 illustrates an arrangement for the preparation of a matched filter (MF) 68 of an object in which an object 70 is placed at one aspect of interest and is imaged by lens 72 through a beam splitter 74 onto a spatial light modulator (SLM) 76. A laser beam from a laser 78 is split by a beam splitter 80 into a signal path 82 and a reference path 84. The laser beam in the signal path 82 is spatially modulated by the SLM 76, and a Fourier transform is taken with a fourier transform lens 86. The laser beam in the reference path 84 is spatially filtered at 88, collimated at 90, and directed through a shutter 92 to the matched filter plate 68 where interference occurs and the MF is recorded. When a different object aspect is desired, the MF plate is moved to a new position, and the process is repeated. The memory bank of the robotic vision system is complete when all aspects of the object are recorded. In the practice of the present invention, the recording medium can be a photographic emulsion, dichromated gelatin, photopolymer, and the like, and can be coated or mounted on a suitable substrate such as a glass plate, thin film, and the like.

In using the arrangement of Figure 10 to fabricate matched filters, several precautions must be taken prior to fabrication. First, the length of the reference path 84 and the signal beam path 82 must be measured, both from the center of the beam splitter 80. Account must be taken of the glass in each path and of the total length of the glass path being increased by the index of refraction of the glass, typically 1.5. When such accounting has been made and the two paths compared, it is necessary that they be equal in total length to a difference of no more than the coherence length of the laser, which is typically several

1 centimeters. In practice, a difference of 2 millimeters is  
readily achievable.

Knowing the Fourier transform lens 86 focal length (360 mm in an exemplary embodiment) and the operational wavelength, 6328 angstroms being typical, the system factor S given earlier can be computed, and is 4.39 cycles per mm per mm. Using representative criteria developed earlier, a cut off spatial frequency of 10 cycles/mm. can be used. Thus, the center to center distance for matched filter spacing in the array illustrated for example in Figure 9 becomes  $(2 \times 10) / 4.39 = 4.56$  millimeters.

The second provision for the set up of Figure 10 is that during fabrication of an array, an aperture is used to permit one filter to be made while all others are blocked, and then the aperture is moved 4.56 mm. to the next location, and a second filter is made. Coincidentally, the movable aperture must also have a spatial frequency radius of 10 cycles/mm and therefore be 4.56 mm in diameter. Figure 3 and 4 of U.S. Patent 4,703,944 illustrate a device which enables the blanking of all positions but one to be achieved.

Thirdly, the elements of Figure 10 must all be aligned, with particular attention being paid to having the target of interest focused upon the spatial light modulator 76 in the input of Fig. 10. Reading out of the spatial light modulator 76 requires a polarizer 94 in front of the SLM and an analyzer 96 therebehind. These three elements must be individually aligned and then in tandem so that the output of the group has the same polarization as the reference path beam. In order to fabricate the holographic matched filter, the reference and the signal beam polarizations must be coplanar for maximum

1 effectiveness. Any other alignment decreases the quality of  
the matched filter, and an orthogonal polarization will not  
even interact holographically.

5       The Fourier Transform lens 86 can be a glass lens,  
but a specially designed multiple holographic lens is  
preferably used in order to have many FT replications of the  
object to address all desired MF positions. When a  
holographic lens is illuminated with a collimated beam of  
radiation, an off-axis focus is achieved. If the beam  
remains collimated but the wavelength is changed, a second  
10 off-axis focus having a different offset angle and focal  
distance than the first is obtained. This result is the  
consequence of the fact that physically a hologram is  
basically a highly complex diffraction grating. It is often  
advantageous to fabricate a matched filter at one  
15 wavelength, and to use the filter at a second wavelength.  
For example, some images are recorded best in a matched  
filter at a wavelength in the blue light spectra and played  
back best at a wavelength in the red light spectra. In  
this situation, the operating light signal has a tendency to  
20 alter the image formed in the matched filter. This tendency  
is substantially reduced if the matched filter is operated  
at the wavelength used to fabricate the filter.

25       Moreover, it must be recognized that each  
different object aspect view yields a unique matched filter  
which in itself has angular scale sensitivities. In a  
robotic vision system, each different aspect view matched  
filter must be treated as a new object matched filter since  
each matched filter is different, and thus yields different  
signals. Accordingly, normalization is required to the same  
30 standard, i.e., a properly aligned matched filter.  
Moreover, the normalization is affected by angular  
sensitivity, and so compensation is necessary, which has not  
been recognized by the prior art.

For example, for a robotic system for L-C-R movements, a matched filter must be fabricated for each of the left, center and right views. Then an angular sensitivity curve must be generated for each matched filter to produce a working response curve, which is the response curve to be normalized. An angular response curve cannot be generated for the center position view, and then used for the left and right views as the angular response curve for each matched filter is different.

The number of MFs required is dependent upon the response of each individual filter. For example, a particular target for which a matched filter is made might show an angular response as shown by one of the lobes of Figure 11. If this is an overhead view and the object always looks the same when rotated, each response looks the same so that a complete memory for 360° coverage would have a "picket fence" response as shown in Figure 11. Note that the responses are all equally spaced and of equal height.

Now consider a moving robotic part. If the robotic part is considered from different aspect views, the set of responses of Figure 12 might be obtained. While the individual responses may be 3db responses (i.e. the base line is located at  $\frac{1}{2}$  the peak level), note that the angular widths vary in size and the peak correlation signals have different heights. These arise because the different aspect views may have more energy in one view than in another.

The net result is that their amplitudes must be brought to a common level. This can be accomplished electronically by a normalizing amplifier as described hereinabove, or optically as by selectively exposing the matched filter photo plates to uniformly "darken" them, bringing the amplitudes down to one common maximum height.

Then, the resultant responses would appear as in Figure 13.  
1 The numbers represent arbitrary values, and are for  
illustration only. Note, however, that the peak values are  
equal. The number of matched filters which are required for  
a particular angular range can then be determined after the  
5 angular responses are normalized as shown in Figure 13.

While several embodiments and variations of the  
present invention for a robotic vision system are described  
in detail herein, it should be apparent that the disclosure  
and teachings of the present invention will suggest many  
10 alternative designs to those skilled in the art.

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## WHAT IS CLAIMED IS:

- 1        1. A system for optically comparing an input image with optical information stored in matched filters to provide identification and aspect information about the input image, comprising:
  - 5        a. a spatial light modulator, having incident thereon an input image to be analyzed, which spatially modulates a coherent beam of radiation to form a spatially modulated radiation beam;
  - 10      b. a multiple holographic lens having the spatially modulated radiation beam incident thereon, for performing a multiple number of Fourier transformations thereon to obtain an array of a multiple set of Fourier transforms of the spatially modulated radiation beam;
  - 15      c. an array of matched filters, having the array of Fourier transforms incident thereon, with each matched filter comprising a Fourier transform hologram of an aspect view of an object of interest and passing an optical correlation signal in its matched filter channel in dependence upon the degree of correlation of the Fourier transform of the spatially modulated radiation beam with the Fourier transform recorded by the matched filter;
  - 20      d. an inverse Fourier transform lens means, receiving the optical correlation outputs of said array of matched filters, for performing an inverse Fourier transformation on each optical correlation output;
  - 25      e. a detector means for detecting the inverse Fourier transform of each optical correlation output, and for producing a detector output signal representative of each optical correlation output;
  - 30      f. a normalizing means for each matched filter channel for producing a normalized detector output signal therefrom, said normalization means including developing an

1 angular response curve for each individual matched filter,  
and setting the maximum amplitude signals for all of the  
angular response curves to be substantially equal to  
normalize the angular response curves, determining the  
angular field of view for each normalized angular response  
5 curve, and determining the number of matched filters  
required to yield a desired overall angular detection  
response; and

10 g. comparator means for comparing the magnitudes  
of the normalized detector output signals to generate output  
directional control signals therefrom, as determined by the  
aspect information about the input image.

15 2. A system for optically comparing an input  
image with optical information stored in matched filters to  
provide identification and aspect information about the  
input image, as claimed in claim 1, further comprising:

20 a. said array of matched filters including at  
least a center matched filter for a center on-line view of  
an object of interest, a left matched filter for a left of  
center angular view of the same object of interest, and a  
right matched filter for a right of center angular view of  
the same object of interest;

25 b. said detector means including at least a  
center detector for said center matched filter, a left  
detector for said left matched filter, and a right detector  
for said right matched filter;

30 c. said comparator means including at least a  
left comparator means for comparing the magnitude of the  
left detector output signal with the magnitude of the center  
detector output signal to determine which magnitude is  
greater, and a right comparator means for comparing the  
magnitude of the right detector output signal with the

1 magnitude of the center detector output signal to determine  
which magnitude is greater;

5 d. directional means, receiving the outputs of  
said left comparator means and said right comparator means,  
for generating an output directional control signal  
representative of the determined angular position of the  
object of interest; and

10 e. a processor means coupled to receive the  
detector output signals from said detector means, for  
comparing the relative magnitudes of the signals to  
determine aspect information about the input image, said  
processor means further including a normalizing circuit for  
each detector output signal, an analog to digital converter  
for converting each normalized detector output signal to a  
corresponding digital signal, and comparator circuit means  
15 for comparing the magnitudes of the corresponding digital  
signals.

20 3. A system for optically comparing an input  
image with optical information stored in matched filters to  
provide identification and aspect information about the  
input image, as claimed in claim 1, said normalizing means  
including a normalizing amplifier circuit for each detector  
output signal for producing a normalized detector output  
signal.

25 4. A system for optically comparing an input  
image with optical information stored in matched filters to  
provide identification and aspect information about the  
input image, as claimed in claim 1, said normalizing means  
including an optical normalizer in each matched filter  
channel.

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5. A method for optically comparing an input image with optical information stored in matched filters to provide identification and aspect information about the input image, comprising:
  - 1 a. directing an input image to be analyzed onto a spatial light modulator to spatially modulate a coherent beam of radiation to form a spatially modulated radiation beam;
  - 5 b. directing the spatially modulated radiation beam onto a multiple holographic lens to perform a multiple number of Fourier transformations thereon to obtain an array of a multiple set of Fourier transforms of the spatially modulated radiation beam;
  - 10 c. directing the array of Fourier transforms onto an array of matched filters, with each matched filter comprising a Fourier transform hologram of an aspect view of an object of interest and passing an optical correlation signal in its matched filter channel in dependence upon the degree of correlation of the Fourier transform of the spatially modulated radiation beam with the Fourier transform recorded by the matched filter;
  - 15 d. directing the optical correlation outputs of said array of matched filters onto an inverse Fourier transform lens means to perform an inverse Fourier transformation on each optical correlation output;
  - 20 e. detecting the inverse Fourier transform of each optical correlation output and producing a detector output signal representative of each optical correlation output;

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f. normalizing the signal through each matched filter channel to produce a normalized detector output signal therefrom, said normalizing step including developing an individual angular response curve for each matched filter, with each individual angular response curve having an individual peak amplitude and an individual angular range, and normalizing the signal through each matched filter channel to equalize the peak amplitudes of all of the matched filter channels, which can result in different angular ranges for each matched filter channel, after setting the peak amplitude signals for all of the angular response curves to be substantially equal to normalize the angular response curves, determining the angular field of view for each normalized angular response curve, and determining the number of matched filters required to yield a desired overall angular detection response; and

g. comparing the magnitudes of the normalized detector output signals to generate output directional control signals therefrom, as determined by the aspect information about the input image.

6. A method for optically comparing an input image with optical information stored in matched filters to provide identification and aspect information about the input image, as claimed in claim 5, further comprising:

a. said step of directing the array of Fourier transforms onto an array of matched filters including directing the array of Fourier transforms onto at least a center matched filter for a center on-line view of an object of interest, a left matched filter for a left of center angular view of the same object of interest, and a right matched filter for a right of center angular view of the same object of interest;

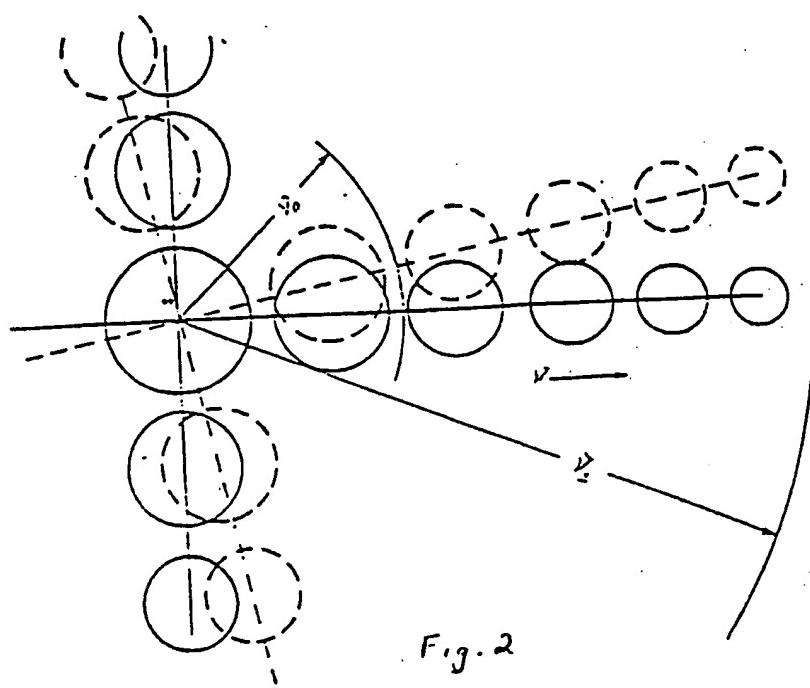
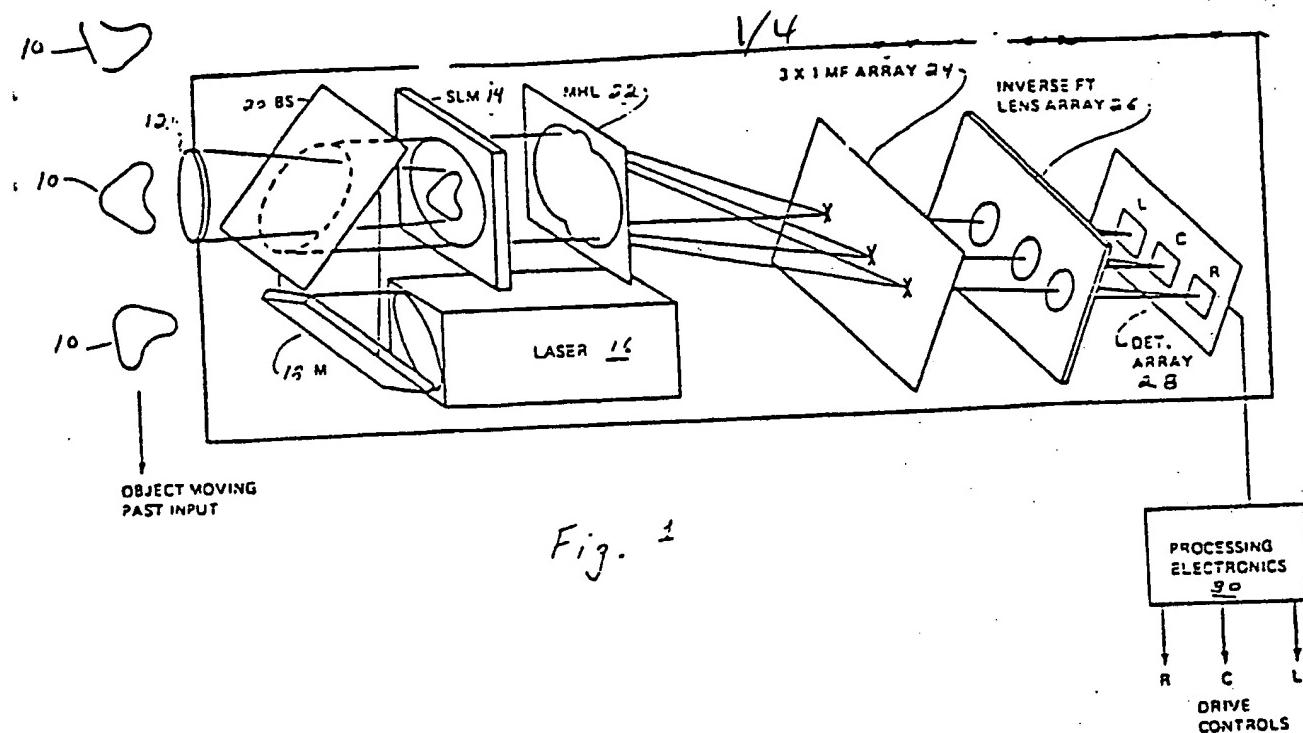
- b. detecting the inverse Fourier transforms with  
1 at least a center detector for said center matched filter, a left detector for said left matched filter, and a right detector for said right matched filter;
- c. comparing the magnitudes of the normalized  
5 detector output signals by at least a left comparator means for comparing the magnitude of the left detector output signal with the magnitude of the center detector output signal to determine which magnitude is greater, and a right comparator means for comparing the magnitude of the right detector output signal with the magnitude of the center detector output signal to determine which magnitude is greater;
- d. utilizing the outputs of said left comparator means and said right comparator means to generate an output  
10 directional control signal representative of the determined angular position of the object of interest; and
- e. comparing the relative magnitudes of the  
15 detector output signals to determine aspect information about the input image, providing a normalizing circuit for each detector output signal, an analog to digital converter for converting each normalized detector output signal to a corresponding digital signal, and comparator circuit means for comparing the magnitudes of the corresponding digital signals.
- 20 7. A method for optically comparing an input image with optical information stored in matched filters to provide identification and aspect information about the input image, as claimed in claim 5, said normalizing step being performed by a normalizing amplifier circuit for each detector output signal for producing a normalized detector output signal, including storing in memory an amplification

1 factor for each normalizing amplifier circuit to achieve  
normalized detector output signals for all of the matched  
filter channels.

5 8. A method for optically comparing an input image  
with optical information stored in matched filters to  
provide identification and aspect information about the  
input image, as claimed in claim 5, said normalizing step  
being performed by an optical normalization in each matched  
filter channel.

10 9. A method for optically comparing an input image  
with optical information stored in matched filters to  
provide identification and aspect information about the  
input image, as claimed in claim 8, said normalizing step  
being performed by an optical attenuating filter in each  
matched filter channel, said normalizing step  
15 being performed by a rotatable polarization attenuating  
filter in each matched filter channel, wherein the rotatable  
polarization filter is selectively rotated for each matched  
filter channel to achieve normalization for all of the  
matched filter channels.

20 10. A method for optically comparing an input  
image with optical information stored in matched filters to  
provide identification and aspect information about the  
input image, as claimed in claim 8, said normalizing step  
being performed by controlling the power to a laser  
25 illuminating each matched filter channel.



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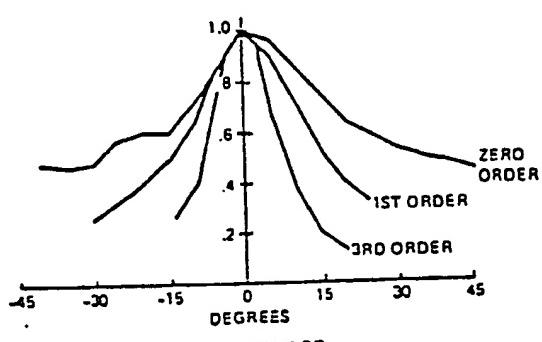


Fig. 3 a ..

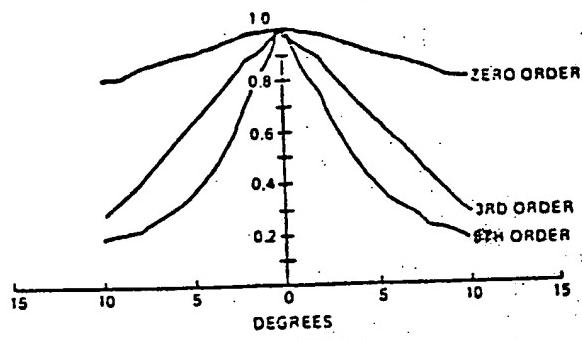


Fig. 3 b

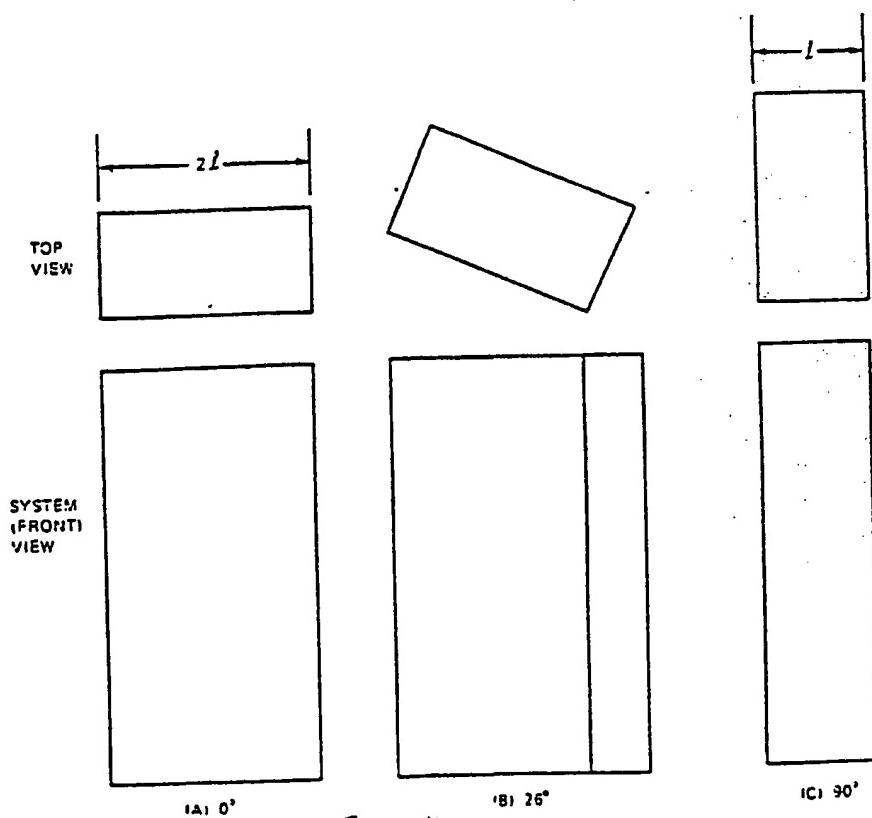


Fig. 4

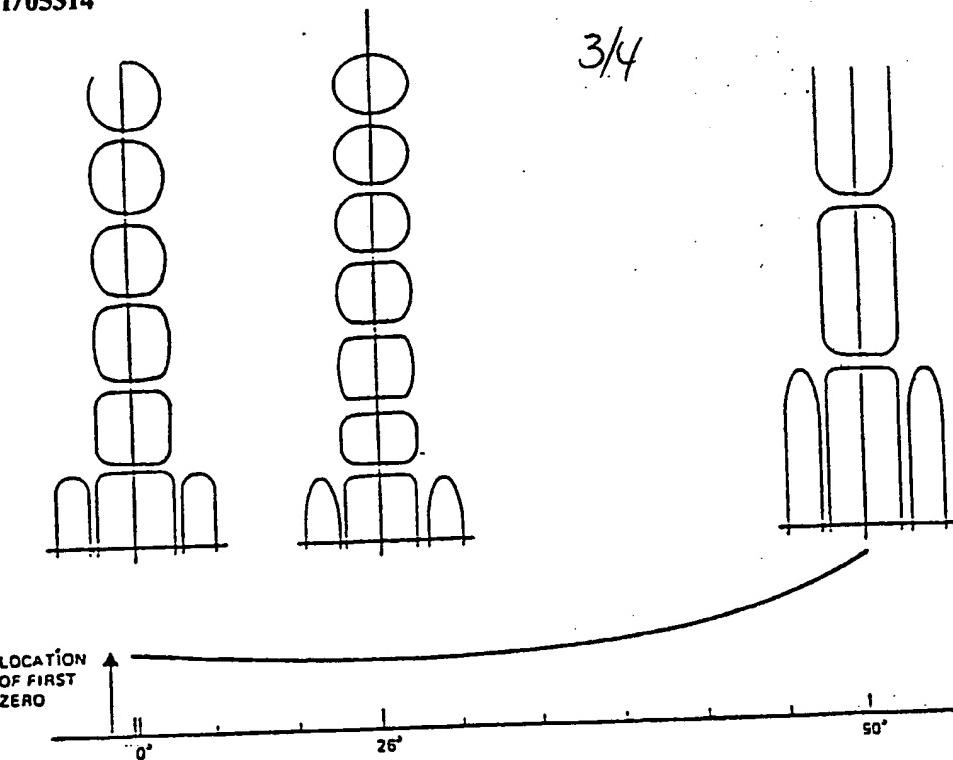


Fig. 5

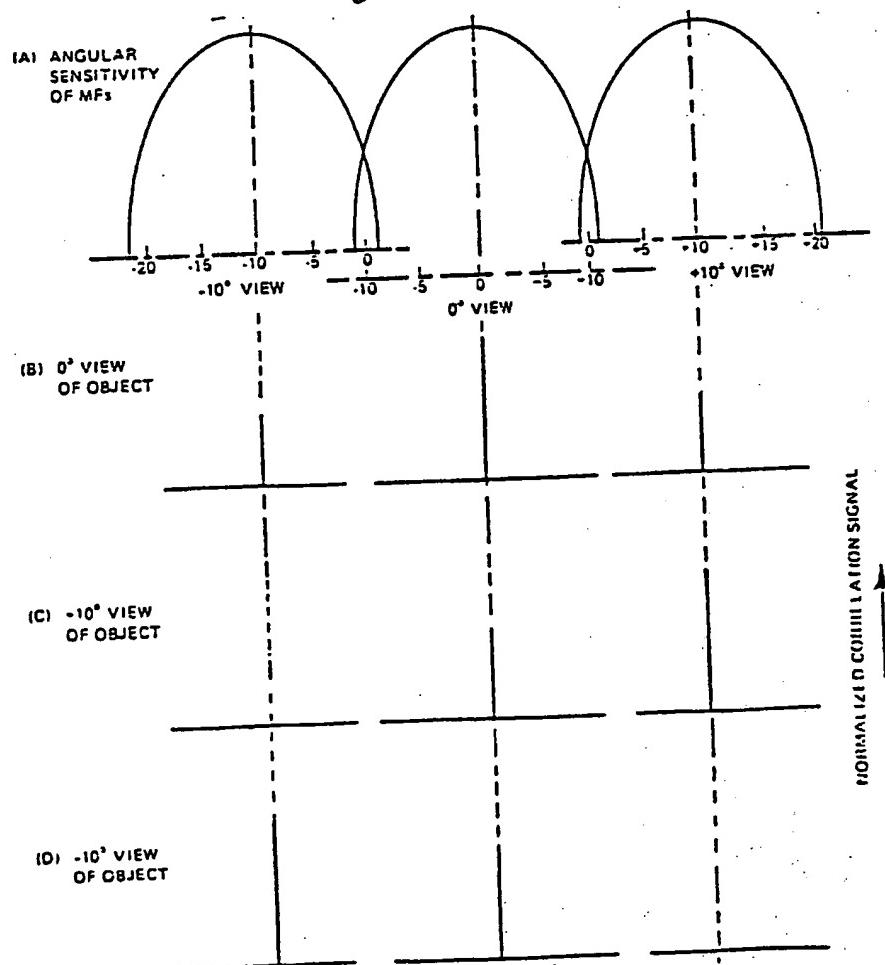
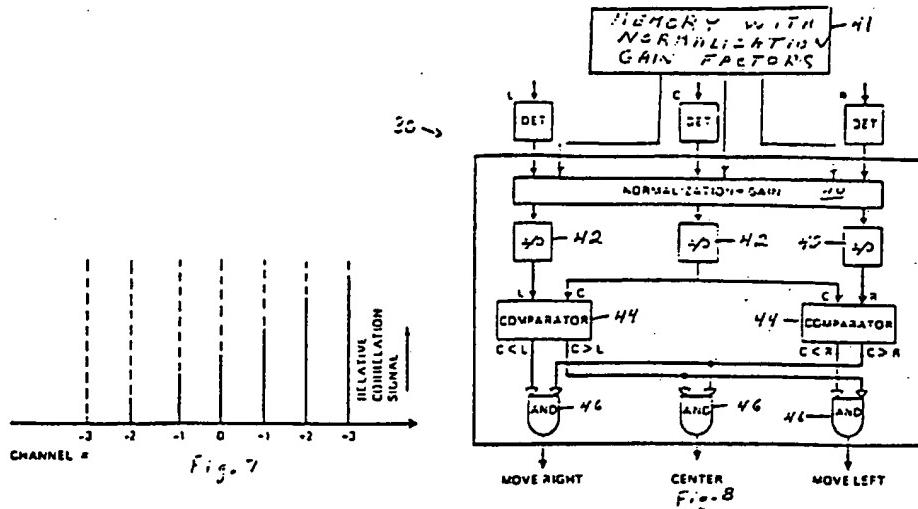
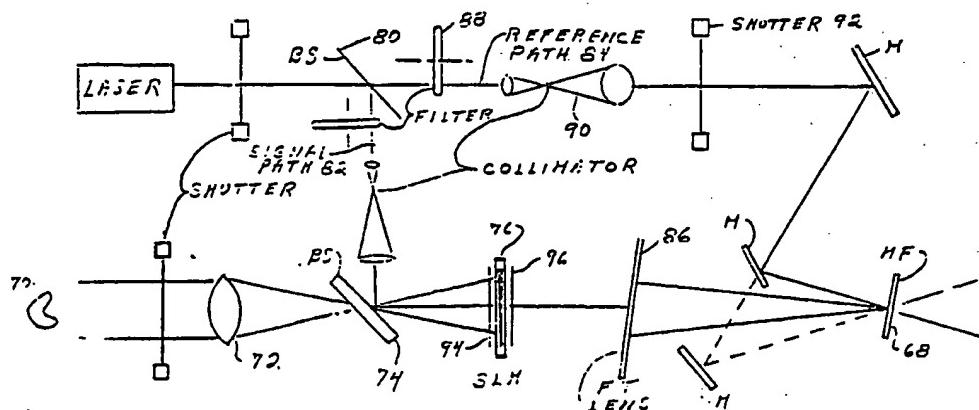
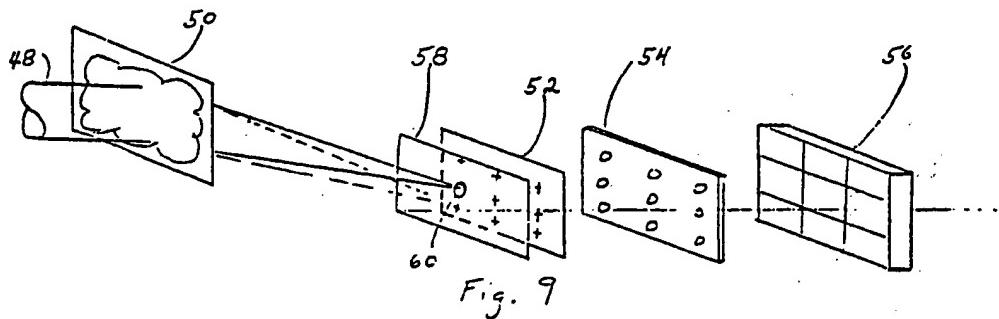


Fig. 6



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PREPARATION OF HF MEMORY BANK FOR ALL ASPECTS OF INTEREST FOR AN OBJECT

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US89/04396

## I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) <sup>6</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC

**Int. CL.5 : G06K 9/00**  
**U.S. CL. 382/31**

## II. FIELDS SEARCHED

Minimum Documentation Searched <sup>7</sup>

Classification System	Classification Symbols
U.S.	382/31; 350/162.12, 162.13, 162.14

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>

## III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup>

Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	US, A, 4,490,849 (GRUMET ET AL.) 25 December 1984, See column 2, line 62 to column 3, line 27, column 4, line 61 to column 5, line 15, and column 8, lines 27-39.	1, 5
A	US, A, 3,851,308 (KAWASAKI ET AL.) 26 November 1974	1, 5
A	US, A, 3,779,492 (GRUMET ET AL.) 18 December 1973	1, 5
A	US, A, 3,483,513 (BURCKHARDT ET AL.) 9 December 1969	1, 5
A	Optics and Laser Technology, Vol. 4, No. 5 issued October 1972, G. Winzer, N. Douklias "Improved Holographic Matched Filter Systems..."	1, 5

\* Special categories of cited documents: <sup>10</sup>

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

05 January 1990

Date of Mailing of this International Search Report

26 JAN 1990

International Searching Authority

ISA/US

Signature of Authorized Officer

  
Michael Cammarata